

## **DETAILED ACTION**

### ***Status of the Claims***

This office action is in response to the amendments submitted by the applicants on 10/4/06 and the appeal Brief submitted by the applicants on 7/7/08.

- Claims 10-14, 17-20, 25-27 are allowed.
- Claim 1-9, 16, 21-24, 28-33 15 are cancelled

This application is in condition for allowance except for the presence of claims 1-9, 16, 21-24, 28-33 directed to species non-elected without traverse. Accordingly, claims 1-9, 16, 21-24, 28-33 been cancelled.

### ***Allowable Subject Matter***

Claims 10-14, 17-20, 25-27 allowed.

### ***Reasons for Allowance***

The following is an Examiner's statement of reasons for allowance:

Claim 10 is considered allowable since when reading the claims in light of the specification, as per MPEP §2111.01, none of the references of record alone or in combination disclose or suggest the combination of limitations specified in independent claim 10, including "calculating trade recommendation information from each of a plurality of trading sub-models; wherein each sub-model is based on a different time of day, said calculation based on said received asset price data", as specified in claim 10.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Elda Milef whose telephone number is (571)272-8124. The examiner can normally be reached on Monday -Friday 9:00 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kambiz Abdi can be reached on (571)272-6702. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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Art Unit 3692

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